

Individual Self-Certification of Tax Residency



BROOKS MACDONALD

The below information is not required if the policy is written in trust. For policies that are written in trust, please contact your Professional Adviser or Brooks Macdonald for a Trust Self-Certification form.

Tax regulations including the Foreign Account Tax Compliance Act (FATCA) and the Organisation for Economic Co-Operation and Development (OECD) Common Reporting Standard (CRS) were designed to protect the integrity of global tax systems. These regulations require us to collect information about each investor's tax residency. In certain circumstances, we may be obliged to share information about your account with HM Revenue & Customs (HMRC), who may pass this on to other tax authorities in other jurisdictions. If you have any questions about your tax residency, please contact your tax or financial adviser. Should any of the information provided change in the future, please ensure you advise us of the changes immediately and provide a new self-certification within 30 days.

Personal details

Title:

Forename(s):

Surname:

Date of birth:

Town/city of birth:

Country of birth:

Current residential address
(please do not use a P.O. Box
or 'in care of' address):

Postcode:

Current mailing address
(please complete if different
from residence address above):

Postcode:

Tax residency

Are you a US citizen or resident for tax purposes?

Yes

No

If yes, please provide your US Taxpayer Identification Number below and an IRS Form W-9:

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Tax residency (continued)

Please indicate in the table below:

- a. all countries/jurisdictions in which you are a tax resident; and**
- b. your associated Taxpayer Identification Number (TIN) (such as a National Insurance Number or Social Security Number) or equivalent for each country/jurisdiction.**

If more space is required, please use a separate sheet.

If a TIN is unavailable, please provide the appropriate reason A, B or C:

Reason A The country/jurisdiction where you are liable to pay tax does not issue TINs to its residents.

Reason B You are otherwise unable to obtain a TIN or equivalent number.
Please explain why you are unable to obtain a TIN in the table below.

Reason C No TIN required.
Please only select this reason if the authorities of the country/jurisdiction of tax residence below do not require a TIN to be disclosed.

Country/jurisdiction of tax residency	TIN	If no TIN, enter reason A, B or C
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If you selected Reason B above, please explain in the following boxes why you are unable to obtain a TIN.

Declaration

I declare that all information provided on this self-certification is, to the best of my knowledge and belief, accurate and complete.

I understand that the information supplied by me is covered by the terms and conditions governing my relationship with Brooks Macdonald, setting out how Brooks Macdonald may use and share the information supplied by me.

I acknowledge that the information contained in this form and information regarding my account(s) maintained with Brooks Macdonald may be provided to HMRC. HMRC may exchange this information with tax authorities in the other country or countries in which the Account Holder may be tax resident.

I agree to notify Brooks Macdonald immediately in the event that the information in this self certification becomes incorrect or incomplete and to provide an updated self-certification within **30 days**.

Signature:

Date:

Full name:

Capacity*:

* If you are not the Account Holder, please indicate the capacity in which you are signing this self-certification. A legal guardian should complete the self-certification on behalf of a minor. If signing under a power of attorney, please also attach a certified copy of the power of attorney.

(TC874.6-R)