FOR PROFESSIONAL ADVISERS ONLY

Managed Portfolio Service

Realising Ambitions.
Securing Futures.
We are Brooks Macdonald.

The operational burden of portfolio management can take time away from your principal focus as a financial adviser - protecting and growing your clients' financial assets in a sustainable, long-term and effective manner.

The landscape around investment strategies is evolving all the time, with a volatile market backdrop, changing regulatory demands, and longer investment horizons. Your clients will also have a range of financial goals, which can evolve and change over time. That's why working closely with a leading investment manager, and leveraging the expertise of Brooks Macdonald, can help you find the optimal solutions tailored to your clients' unique needs.

Our Managed Portfolio Service (MPS) provides an effective discretionary investment management solution that is designed to suit a wide range of clients, whatever their goals, needs, and risk appetites.

At a glance

Five investment strategies covering a range of risk profiles and investment objectives

Each strategy is backed by the expertise and resource of Brooks Macdonald, and underpinned by our **Centralised Investment Proposition**, offering reassurance that your clients' money is in safe hands

Globally **diversified solution** with exposure across asset classes, geographies, and sectors

Available on over 25 investment platforms or directly with Brooks Macdonald to suit your business model and needs

Managed to **five risk profiles**, and independently risk profiled, allowing you to translate risk levels to your chosen profiler

Outsourcing of portfolio management can help alleviate pressure on your team and enable you to reclaim time for financial planning

What is the Managed Portfolio Service?

Our MPS is a comprehensive, discretionary portfolio management solution designed to deliver a sustainable income and capital growth over an extended period.

There are five investment strategies - active, passive, responsible investment, risk-controlled, and volatility managed - that are designed to cater for a range of risk profiles and investment objectives, so whatever your clients' plans, they'll be covered.

Each strategy uses a blend of investment vehicles to offer diversification across asset classes, geographies, and sectors. These investments are refined through our Centralised Investment Proposition, offering reassurance that your client portfolios are backed by robust research and analysis.

	Active	Passive	Responsible Investment	Risk Controlled	Volatility Managed
Portfolios	7	5	5	5	5
Risk levels	5	5	5	5 Guaranteed alignment with Defaqto Risk Levels 3-7	5 Guaranteed alignment with Dynamic Planner Risk Levels 3-7
Asset allocation	Active	Active	Active	Active	Active
Fund selection	Actively managed and index tracking funds	Index tracking funds	Actively managed and index tracking funds	Actively managed and index tracking funds	Actively managed and index tracking funds
Objectives	Income, Income & Growth, Growth	Income, Income & Growth, Growth	Income, Income & Growth, Growth	Income, Income & Growth, Growth	Income, Income & Growth, Growth
Availbility	BM Direct custody & on platforms	BM Direct custody & on platforms	BM Direct custody & on platforms	Platforms only	Platforms only

The ranges

1 Active range

Our active range is designed to match your clients' goals and attitude to risk. It offers seven active portfolios covering five risk profiles from low to high risk, with an additional dedicated income objective portfolio available at low to medium and medium risk.

2 Passive range

This range consists of five portfolios covering five risk profiles from low to high risk, including income, income and growth, and growth objectives. They are managed in the same way as our Active range but constructed using passive underlying investments.

3 Responsible Investment Advance range

The Responsible range consists of five portfolios covering five risk profiles including income, income & growth, and growth objectives. It aims to invest in funds that offer solutions that may contribute to a more sustainable future.

4 Risk Controlled range

This range features five portfolios across five risk profiles using a blend of active and passive funds aiming to guarantee alignment with Defaqto Risk Levels 3 to 7, to ensure the chosen solution remains aligned to the chosen risk level. Available on platform only.

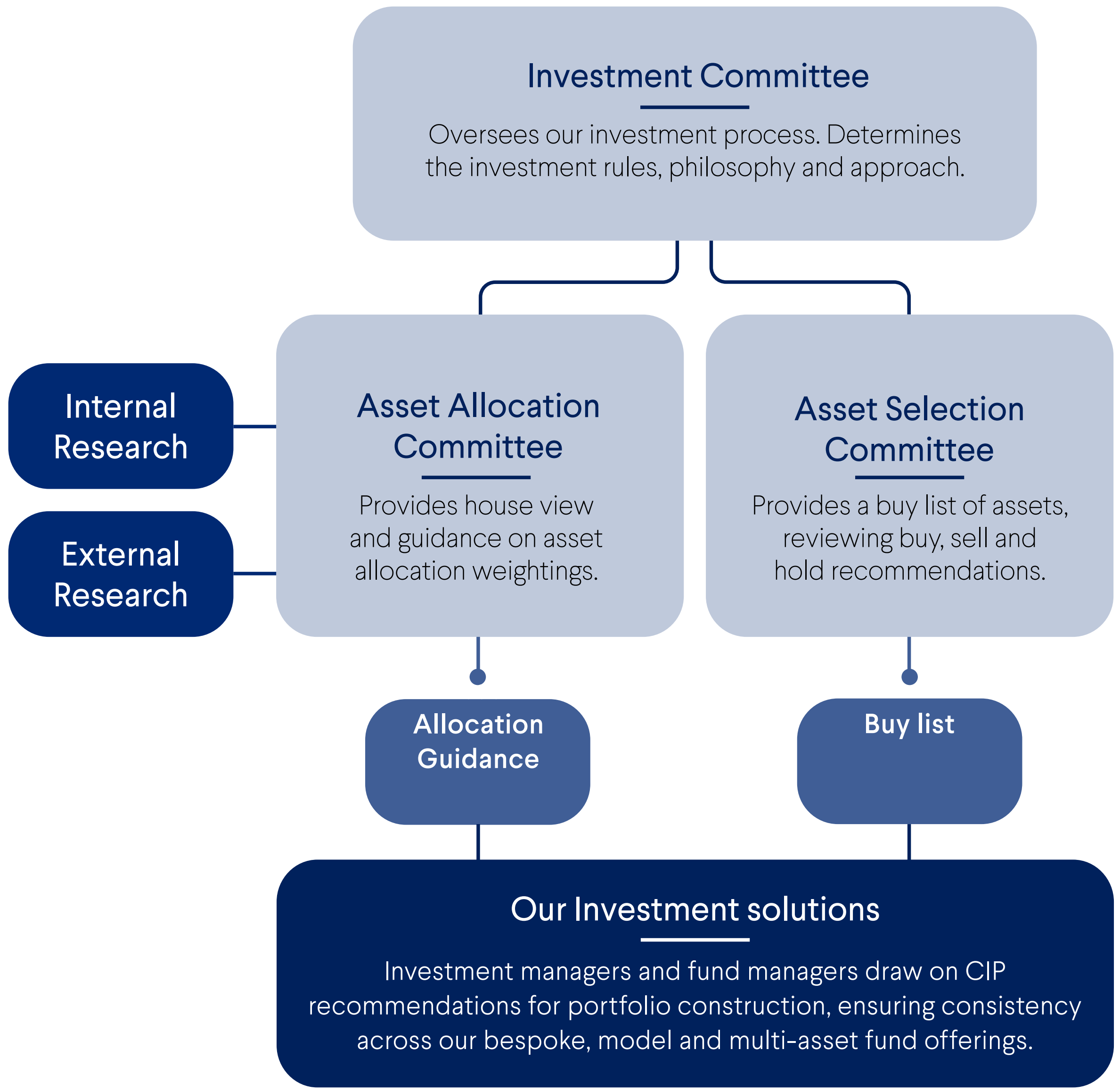
5 Volatility Managed range

The Volatility Managed range offers five portfolios across five risk profiles targeting the volatility boundaries of Dynamic Planner, to ensure the chosen solution will remain aligned to the chosen risk level. Adopting the same active approach to asset allocation, the Volatility Managed range is constructed using a blend of active and passive funds aiming to guarantee alignment with Dynamic Planner Risk Levels 3 to 7. Available on platform only.

Our investment approach

Our Centralised Investment Proposition (CIP) underpins all our investment propositions and strategies, including the MPS ranges. Our CIP involves research, risk assessment, and continuous monitoring to produce a repeatable and observable process with explainable results.

Here is an outline of its key processes:



How we help your clients achieve their financial goals

The MPS targets **outcome-focused investments** with options that focus on either growing your client's capital, providing a sustainable income in real terms, or a mix of these two objectives.

We aim to provide the reassurance that your clients' investment portfolios are in safe hands while you are working on their overall financial planning. Our dedicated **MPS Investment Team** are responsible for reviewing your clients' portfolios on an ongoing basis and will use their judgement to decide the most opportune time to adapt the portfolios to suit market conditions. This means that rather than being run to a fixed schedule, portfolio rebalancing for your clients is at the discretion of our team.

The team will be responsible for constructing, monitoring and rebalancing (when appropriate) your clients' portfolios to ensure their risk and return objectives are being met, demonstrating **consistent, reliable results** to both your clients and the regulator.

How we help you

By outsourcing portfolio management responsibilities to our experienced MPS Investment Team, you can free up time to concentrate on what matters most: providing comprehensive financial planning, support, and advice for the best possible outcome for your clients.

Led by a team of experts with decades of experience and backed by the Brooks Macdonald investment team, our MPS is all about delivering great results for you and your clients, whether you work with us direct or via your chosen platform.

Working with us

Our MPS range can be accessed on over 25 platforms or directly with Brooks Macdonald, depending on your business model or the needs of your client.

The Direct option requires a minimum investment of £20,000. Investing directly comes with the additional benefit of direct administrative support from Brooks Macdonald – lessening the administrative time and cost for advisers. There are also digital benefits including access to our InvestBM online portal.

With the platform option, the minimum investment depends on which of the 25+ available investment platforms you and your client select. This means that all assets are held on your chosen investment platform in one place, with multiple products or wrappers.

Who manages the portfolios?

The portfolios are fronted by a team of seasoned investment professionals with decades of expertise, backed by Brooks Macdonald's expert research and infrastructure, with a proven track record of facilitating consistent, market-leading returns across all risk profiles, building trust and demonstrating value to your clients.

Our MPS team

Frank Atkins

Investment Director, Multi-Asset Team Head

Frank has more than 15 years' experience in investment management, the past ten at Brooks Macdonald. He co-manages our MPS and Blueprint Multi-Asset Fund range.

Mark Shields

Investment Director, Multi-Asset Team

Mark has 16 years' experience in investment management. He co-manages our MPS and Blueprint Multi-Asset Fund range, heads our thematic research team, and is a member of our UK Funds research team.

Alex Chittenden

Investment Manager, Multi-Asset Team

Alex has been at Brooks Macdonald for over 13 years and assists with the management of the MPS range and the Blueprint Multi-Asset Fund range. He is a Chartered Wealth Manager and a member of our Responsible Investment research team.

Why partner with Brooks Macdonald?

At Brooks Macdonald, we're more than an investment partner – we're part of your community. We know the challenges you face because we live and work alongside you. Like you, we're in the business of people, building trusted relationships that stand the test of time. That's why, for over 30 years, we've been helping advisers grow their businesses and deliver the best outcomes for their clients. And we'll be with you every step of the way.

1 Trusted

- Independent, financially strong, and a leading provider of wealth management services since 1991, demonstrating long-term stability.
- Over 30 years of experience helping advisers build their businesses and navigate market and regulatory changes.

2 Partners

- We listen, collaborate, and tailor solutions to help you and your clients succeed.
- Our diverse range of solutions means we can offer solutions that align with your business model and client needs.
- We remove burdens, support your growth, and aim to help you deliver the best outcomes for your clients.

3 Investment expertise

- Our proposition is backed by a rigorous Centralised Investment Proposition and robust frameworks to support due diligence.
- Access to the expertise of 60+ investment professionals in a way that suits your firm.
- Our commitment to helping your clients achieve their goals is backed by our focus on outperformance, delivered through a range of investment solutions.

Our focus is on providing expert investment management, helping you and your clients achieve the desired outcome, as well as value for money. All our solutions at Brooks Macdonald are underpinned by our Centralised Investment Proposition, which streamlines your due diligence, helps you satisfy the requirements of the regulator, and aims to produce consistent outcomes for your clients.

Let's realise ambitions and secure futures together.

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Important information

This document is intended for investment professionals only and should not be relied upon by any persons who do not have professional experience in matters relating to investments.

The value of investments, and the income from them, may go down as well as up and neither is guaranteed. Investors could get back less than they invested.

The Defaqto, Synaptic and eValue ratings are designed to help professional advisers recommend the appropriate fund after they have assessed the client's attitude to risk using these risk profilers. Risk profiling is only a part of an overall suitability assessment, and this guide should not be relied upon in place of a comprehensive suitability assessment. The professional adviser remains responsible for advising the client on whether the recommended fund is suitable to the client's personal circumstances.

 Risk tolerance scores were mapped to the funds' strategic asset allocations as at 31 December 2023. The mappings are only for use by financial advisers licensed to use FinaMetrica's risk profiling system and do not constitute financial advice. Financial advisers must satisfy themselves that the funds' current asset allocations reflect the risk/return expectations of the funds when mapped. Brooks Macdonald does pay FinaMetrica for the mappings in the table however these mappings are calculated independently by FinaMetrica.

The risk ratings set out in this document have been prepared by Distribution Technology Limited ("DT") and are based on analyses conducted by DT in December 2023.

The stated 'DT risk level' is the risk level attributed to the model portfolio by a third party, Distribution Technology Limited ("DT") following DT'S analysis. The stated DT risk level is valid as at the stated date; however, market fluctuations can mean the level of risk in the portfolio might change over time. Brooks Macdonald has not verified the risk level attributed to the model portfolio by DT and makes no warranty as to its accuracy. Accordingly, Brooks Macdonald will not accept any liability arising from any reliance on the stated DT risk level.

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More information about the Brooks Macdonald Group can be found at brooksmacdonald.com

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