

Flexible Stocks & Shares Individual Savings Account (ISA)

Transfer and Application Form



BROOKS MACDONALD

Flexible Stocks & Shares ISA transfer and application form

Personal details

Full name:

Permanent residential address:

Postcode:

National insurance number:

--	--	--	--	--	--	--	--	--

Date of birth:

Information about the ISA to be transferred

ISA account number:

Name of existing ISA manager:

Address of existing ISA manager:

Postcode:

Have you subscribed to an ISA in the current tax year? Yes No

If Yes, please state how much: £

Do you want to include current tax year subscriptions in this transfer? Yes No

Full Transfer Yes No

Partial Transfer Yes No

Value of transfer: £

ISA transfer details

Please tick one box only

Please transfer as: Shares/Units (in specie) *Cash
(if shares/units please provide statement of holdings)

When Brooks Macdonald does not believe a holding to be suitable we may at our discretion request a sale before transfer.

*Please note all cash arising from your ISA transfer will be received into the Brooks Macdonald client money bank account as follows.

Bank: Royal Bank of Scotland plc
Southern Corporate office
Sort code: 16-00-55
Account name: BMAM General Client Account No 2
Account number: 10067934

Flexible Stocks & Shares ISA transfer and application form

Transfer authority

I authorise my existing ISA manager (as specified above) to transfer the ISA (account number above) to Brooks Macdonald Asset Management Limited. I authorise my existing ISA manager to provide Brooks Macdonald Asset Management Limited with any information, written or unwritten. I authorise my existing ISA manager to transfer the securities and/or cash within my ISA in accordance with the instructions given by Brooks Macdonald Asset Management Limited.

In the event that Brooks Macdonald Asset Management Limited is unable to accept any of your holdings these securities may need to be sold and the sale proceeds transferred as cash. If this is the case there may be a period of time when you are not fully invested. Should you wish to clarify this point, you should contact your existing ISA manager.

Subscribing to your Flexible ISA

Please subscribe the following into my Brooks Macdonald Asset Management Flexible Stocks & Shares ISA for the current tax year:

Maximum allowable ISA subscription for the current tax year (please tick here) OR £

Please subscribe the following into my Brooks Macdonald Asset Management Flexible ISA for subsequent tax years until instructed otherwise in writing:

Maximum allowable ISA subscription (please tick here) OR £

If you have completed the above section, you can subscribe in every subsequent tax year without the need to complete another ISA application form. If you do not wish to subscribe in a particular tax year, you should notify us of this in writing, and we will require you to complete a new ISA application form for the next tax year in which you choose to subscribe again.

Funding your flexible ISA

I wish to fund my ISA:

From my existing portfolio

By cheque payable to 'BMAM Ltd re: Client name'

By electronic transfer*

* Electronic transfer of funds by CHAPs or Faster Payments are welcome, however, please do not send any funds to us until we have confirmed to you that your accounts are open and provided you with your account number. Your account number will need to be quoted on all electronic transfers to us. Funds or cheques sent to us before we have confirmed your account is open will need to be returned to you. If none of the above options are selected the default will be 'From my existing portfolio'.

Flexible Stocks & Shares ISA transfer and application form

All accumulated dividend income should be:

Either: Held on deposit/reinvested

Or paid out as follows:

all income **or** £

monthly

quarterly

semi-annually

annually

On which date of the month would you like this paid to the default bank account?

5th

20th

Date effective from:

Bank:

Sort code:

| - | - |

Account name:

Account number:

| | | | | | | |

Discretionary management: Investment Objective and Risk profile

Please note that this Flexible Stocks & Shares ISA will be managed in line with the Investment Strategy of your main account unless we receive a specific instruction otherwise in writing.

Flexible Stocks & Shares ISA transfer and application form

Declaration and authority

I declare that:

1. all subscriptions made, and to be made, are from my own funds
2. I am 18 years of age or over
3. I have not subscribed and will not subscribe more than the overall subscription limit in total to any combination of permitted ISAs in the same tax year
4. I have not subscribed, and will not subscribe, to another stocks & shares ISA in the same tax year that I subscribe to this Flexible stocks & shares ISA
5. I am resident in the United Kingdom for tax purposes or, if not resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas) are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Brooks Macdonald Asset Management Limited if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties
6. The information given by me on this form is true and correct to the best of my knowledge and belief, and I shall inform Brooks Macdonald Asset Management Limited immediately if my circumstances affecting this investment change
7. I will be the beneficial owner of shares held in my account

I authorise Brooks Macdonald Asset Management Limited:

1. to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash
2. to make on my behalf any claims to relief from tax in respect of ISA investments
3. to make withdrawals from the plan, comprising ISA investments, interest, dividends, rights or other proceeds or any cash, and transfer them to me

I hereby agree to the above declaration and authority.

Your attention is drawn to the Brooks Macdonald Asset Management Limited Client Agreement and Guide to Flexible ISAs upon which we intend to rely. For your own benefit and protection you should read the terms of the Client Agreement carefully before signing the declaration below. If you do not understand any point please ask for further information.

Signature:

Date:

Full name:

(TC874.6-R)